RURAL	*
URBAN	

GOVERNMENT OF INDIA NATIONAL SAMPLE SURVEY OFFICE SOCIO-ECONOMIC SURVEY

CENTRAL	*
STATE	

SEVENTY THIRD ROUND: JULY 2015 – JUNE 2016 SCHEDULE 0.0: LIST OF HOUSEHOLDS AND NON-AGRICULTURAL ENTERPRISES

[0] descriptive identification of sample village/EB/UFS block									
1. state/ u.t.:	4. village/ town name:								
2. district:	5. ward/ investigator unit:								
3. sub-district:	6. enumeration block(EB)/UFS block:								

[1] ide	entification of sample villag	ge/EB	/UF	S b	lock							
item	item		coc	de		item	item		(cod	e	
no.						no.						
1.	serial number of sample FSU					11.	sub-sample					
2.	round number	7			3	12.	FOD sub-region					
3.	schedule number	0		(0	13.	frame code					
4.	sample (central-1, state-2)				14.	frame population (rural only)						
5.	sector (rural-1, urban-2)		15. selection size									
6.	NSS region					16.	approximate present population					
7.	district			17.	approximate no. of non-agricultural enterprises (without segment 9 enterprises)							
8.	stratum					18.	total number of hg's/sb's formed (D)					
9.	sub-stratum					19.	survey code					
10.	10. sub-round		1		20.	reason code for substitution of original sample (for codes 4 – 7 in item 19)						

items 1, 4 - 11 and 13 - 15 to be copied from the sample list

CODES FOR BLOCK 1

item 13: **frame code**: rural/urban: 2011 census – 16

urban: 2007-12 UFS - 15, 2012-17 UFS - 17

item 19: survey code: selected village/EB/UFS block surveyed:

inhabited -1, uninhabited -2, zero case -3

selected village/EB/UFS block casualty but substitute village/EB/UFS block surveyed:

inhabited - 4, uninhabited - 5, zero case - 6

selected village/EB/UFS block casualty and no substitute surveyed -7

item 20: reason code for substitution of original sample:

sample village/EB/UFS block: not identifiable/ traceable − 1

 $not\ accessible-2$

restricted area (not permitted to survey) -3

others (specify) -9

^{*} tick mark (✓) may be put in the appropriate place

srl.	rticulars of field opera	4110113	field in	vection	ator (FI) / junior	field	1 offic	er (FO)	/ sanio	
no.		item			officer				l officer		
(1)		(2)	State		(3)	(000)	544	.ioticui	(4)	(650)	
	(i) name (block letters				(- /						
1(a).	(ii) code										
	(iii) signature										
	(i) name (block letters										
1(b).	(ii) code										
	(iii) signature										
	D (() 2		DD	1	MM	YY	DD		MM	Y	Y
		Date(s) of: (i) commencement of survey/ inspection									
	(ii) completion of surv										
2.	(iii) receipt	(iii) receipt									
	(iv) scrutiny										
	(v) despatch										
3.	number of additional	sheet(s) attached									
4.	total time taken to car team of investigators (in hours) [no decima										
5.	number of investigate										
6.	whether any remark has been entered by	(i) in block 8/9									
0.	FI/JSO/ supervisory officer (yes-1, no-2)	(ii) elsewhere in the schedule									

[8] remarks by investigators (FI/JSO)	
[0] comments by supervisory officer(s)	
[9] comments by supervisory officer(s)	

[3] sketch map of hamlet-group (hg)/sub-block (sb) formation	

	list of hamlets				[4.2] l: D =	ist and selec	tion of 1	hamlet-gı	roups (hg	's)/sub-b	locks (sb'	s)	
samı	oles with hamle	t-group	enterpri	ises under coverage				enterpi	rises under coverage	survey			
srl. no.	name of hamlet	% of pop/ NAE ^{\$}	approx no. of estt.	availa- bility of OAE (yes-1, no-0)	srl. no. of hg/sb	serial no. of hamlets in the hg (rural only)	% of pop/ NAE sin the hg/sb	approx. no. of estt. in the hg/sb	count of hamlets with OAE in the hg (rural)	availability of OAE in the sb (urban) (yes-1, no-0)	sampling serial no. of the hg/sb *	order of selec- tion [@]	seg no.
(1)	(2)	(3)	(4)	(5)	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
	total	100	X	X		total	100	X	X	X	X	X	X

^{\$} strike out which is not applicable

^{* &#}x27;0' for hg/sb with maximum number of non-agricultural establishments under survey coverage, failing which, with maximum number of hamlets with own account non-agricultural enterprises under survey coverage within hg (for rural FSUs) or the sb having at least one own account non-agricultural enterprise under survey coverage (for urban FSUs), failing which, with maximum percentage share of population.

^{@ &#}x27;0' for hg/sb with '0' in col (7)

^{** 1} for order of selection '0' and 2 for order of selection for both '1' and '2' in col (8)

[6a] par	ticulars of ento	erprises in	segment 9	,				
		second		Т	number of e	1		
segment		stage	in the	1 1		surveyed		casualty
number	enterprise type	stratum (SSS)	frame (E)	selected (e)	originally selected	substituted	total	[col 5- col.8]
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
		1						
		2						
		3						
		4						
		5						
		6						
	Establishment (2)	7						
	(2)	8						
9		9						
		10						
		11						
		12						
		13						
	OAE	14						
	(1)	15						
	(1)	16						
	all							

[6b] par	ticulars of samp	oling of en	terprise	s (for seg	ments 1 &	k 2)		
-	-					of enterprises		
an amount		second	in the			surveyed		aaawaltri
segment number*	enterprise type	stage stratum	in the frame	selected	originally		total	casualty [col 5-
number			(E)	(e)	selected	substituted	[col.6+	col.8]
745	(0)	(SSS)		(#)		(5)	col.7]	
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
		1						
		2						
		3						
		4						
		5						
	Establishment	6						
	(2)	7						
4	()	8						
1		9						
		10						
		11						
		12						
		13						
	OAE	14						
	(1)	15						
		16						
	all							
		1						
		2						
		3						
		4						
		5						
	T. 4 - 1.1' - 1	6						
	Establishment (2)	7						
	(2)	8						
2		9						
2		10						
		11						
		12						
		13						
	0.45	14						
	OAE (1)	15						
		16						
	all							

^{*} if no hg/sb is formed, entries may be made against segment number 1

[7] lis	t of no	n-agricultural enterpr	rises having 20 or more v	vorke	rs in the	e sample v	village/EB/U	FS bl	ock (seg	ment 9))*		
				e	fc	or 1 – 3 in	col. (5)		for 1 in col. (9)	numb worl			16)
house number	household serial number	name and address of enterprise/ owner/ operator/ head of household	description of activity	broad activity category code	type of ownership code	for 1 & .	NIC 2008 code 2/3/4/ 5 digits @	eligibility code	eligible enterprise serial	total	hired	enterprise type code	SSS number (either of 1 to 16)
		(2)	(4)										
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)	(14)
							(I)						

^{*} listing of segment 9 enterprises to be done for the entire sample village/EB/UFS block

CODES FOR BLOCK 7

col.((5)):	broad	activity	category	code
CO1.(,.	DI UUU	acuitt	Cutczoi i	couc

manufacturing	1
trade	2
other services	3
remaining	4

col.(6): **type of ownership code**:

proprietary/partnership	1
Govt./PSU	2
limited companies	3
co-operative society	4
others	

col.(7): **registration code:** registered under Sections 2m(i) and 2m(ii) of the Factories Act, 1948 or beedi and cigar workers (conditions of employment) Act, 1966 or Limited Liability Partnership (LLP) Act, 2008 or with the Central Electricity Authority: yes -1, no -2

[®] col.(8): **NIC –2008 code:**

- **2 digits** for 11 33, 37 39, 45 47, 50, 52 55, 58 63, 69 75, 78 82, 85 93, 96;
- **3 digits** for 101, 102, 103, 104, 105, 106, 107, 108, 561, 562, 563, 663, 681, 682, 771, 772, 773, 941, 952;
- 4 digits for 4922, 4923, 6491, 6499, 6612, 6619, 6621, 9491 (individuals), 9499, 9511, 9512;
- **5 digits** for 01632, 35103, 35105, 35106, 35107, 35109, 49211, 49219, 64193 (chit funds), 64300, 64309 (self help groups), 64920, 64921 (investment clubs), 64929 (activities of private moneylenders).

col.(9): **eligibility code:**

operated for at least 30 days (15 days for seasonal enterprises and SHG) during last 365 days -1 otherwise -2

col.(13): **enterprise type code:**

OAE1 Establishment2

col.(14): SSS number:

enterprise type	broad activity	SSS	NIC - 2008
on prise type	category	200	1120 2000
		1	101, 102, 103, 104, 105, 107, 11
	manufacturing	2	01632, 13 - 18, 31
	C	3	106, 108, 12, 19 – 30, 32, 33, 35103, 35105, 35106, 35107, 35109
		4	45
	trade	5	46
		6	47
		7	55, 562
establishments		8	49211, 49219, 4922, 4923, 50, 52, 79
		9	64193 (chit funds), 643, 64309 (self help groups), 6491, 6492, 64921
			(investment clubs), 64929 (activities of private moneylenders), 6499,
	other services		6612, 6619, 6621, 663
		10	53,58 - 62, 631, 639, 681, 69 - 74, 771, 772, 773, 78, 80 - 82, 9511
		11	85
		12	75, 86 – 88, 941, 9491, 9499
		13	37 – 39, 561, 563, 682, 90 – 93, 9512, 952, 96
	manufacturing	14	01632, 10–33, 35103, 35105, 35106, 35107, 35109
OAEs	trade	15	45, 46, 47
3.1L3		16	37 – 39, 49211, 49219, 4922, 4923, 50, 52 – 63, 643, 64193, 64309,
	other services		6491, 64920, 64921, 64929, 6499, 6612, 6619, 6621, 663, 68, 69, 70
			-75, 771, 772, 773,78 - 82, 85 - 93, 941, 949, 95, 96

Worksheet - Compensation for Schedule 2.34 (without hg/sb formation)

Co	ompensation	for shortfall of manuf	acturing	g enterpri	ses							
segment no.	SSS	no. of enterprises to be surveyed	E	Step 1	Step 3	e						
	1	2										
	2	2										
1	3	2										
	14	2										
	total	8										
	shortfall											
maximum alloc	ation: (i) SSS	31 - 3 = 6 (ii) $SSS14 = 4$	(iii) tot	al = 8								

	Compensation for shortfall of trading enterprises													
segment no.	SSS	no. of enterprises to be surveyed	E	Step 1	Step 3	e								
	4	2												
	5	2												
1	6	2												
	15	2												
	total	8												
	shortfall													
maximum all	ocation: (i) SSS	64 - 6 = 6 (ii) SSS15 = 4	4 (iii) to	tal = 8										

Co	ompensat	ion for shortfall of other	service	e sector ent	erprises	
segment no.	t no. SSS no. of enterprises to be surveyed		E	Step 1	Step 3	e
	7	2				
	8	2				
	9	2				
	10	2				
1	11	2				
	12	2				
	13	2				
	16	2				
	total	16		_		
		shortfall				
maximum allo	cation: (i)	SSS 7 – 13 = 14 (ii) SSS1	6 = 4 (i	ii) total = 1	6	

Worksheet – Compensation for Schedule 2.34 (with hg/sb formation)

	Compe	nsation for shortfa	ll of m	anufact	uring ent	erprises		
segment no.	SSS	no. of enterprises to be surveyed	E	Step 1	Step 2	Step1 + Step2	Step 3	e
	1	1						
	2	1						
1	3	1						
	14	1						
	total	4						
	1	1						
	2	1						
2	3	1						
	14	1						
	total	4						
	1-3	6						
1+2	14	2						
	total	8						
	sho	ortfall						
maximum al	location: (i) SSS $1-3=6$ (ii) S	SSS14	= 4 (iii)	total = 8			·

	Cor	mpensation for sho	rtfall	of tradin	g enterp	rises		
segment no.	SSS	no. of enterprises to be surveyed	E	Step 1	Step 2	Step1 + Step2	Step 3	e
	4	1						
	5	1						
1	6	1						
	15	1						
	total	4						
	4	1						
	5	1						
2	6	1						
	15	1						
	total	4						
	4-6	6						
1+2	15	2						
	total	8						
	sho	ortfall						
maximum a	llocation: (i) SSS $4 - 6 = 6$ (ii)	SSS15	5 = 4 (iii)	total = 8			

	Compe	nsation for shortfa	ll of c	other ser	vice sect	or enterpi	rises	
segment no.	SSS	no. of enterprises to be surveyed	E	Step 1	Step 2	Step1 + Step2	Step 3	e
	7	1				•		
	8	1						
	9	1						
	10	1						
1	11	1						
	12	1						
	13	1						
	16	1						
	total	8						
	7	1						
	8	1						
	9	1						
	10	1						
2	11	1						
	12	1						
	13	1						
	16	1						
	total	8						
	7-13	14						
1+2	16	2						
	total	16						
	s	hortfall						
maximum	allocatio	on: (i) SSS 7 – 13 =	14 (ii) SSS16 =	= 4 (iii) to	otal = 16		

SSS no.	NIC 2008 codes	description
		Establishments
		Manufacturing
1	101, 102, 103, 104, 105, 107, 11	Food products and beverages
2	01632, 13, 14, 15, 16, 17, 18, 31	Cotton ginning, cleaning and bailing, textiles, wearing apparel, leather and leather products, wood and wood products, furniture, paper and paper products, printing, etc.
3	106, 108, 12, 19, 20, 21, 22, 23, 24, 25, 26, 27, 28, 29, 30, 32, 33, 35103, 35105, 35106, 35107, 35109	Grain mill products, prepared animal feeds, tobacco, petroleum, chemical and chemical products, pharmaceuticals, rubber, plastic, motor vehicle, transport equipments, electric power generation and other manufacturing activities
		Trade
4	45	Wholesale and retail trade and repair of motor vehicles and motorcycles
5	46	Other wholesale trade
6	47	Other retail trade
		Other services
7	55, 562	Accommodation, Event catering and other food service activities
8	49211, 49219, 4922, 4923, 50, 52, 79	Transport, supporting and auxiliary transport activities, travel agency, tour operators etc.
9	64193 (chit funds), 643, 64309 (self help groups), 6491, 6492, 64921 (investment clubs), 64929 (activities of private moneylenders), 6499, 6612, 6619, 6621, 663	Financial service activities, except insurance and pension funding and other financial activities
10	53, 58 – 62, 631, 639, 681, 69, 70, 71, 72, 73, 74, 771, 772, 773, 78, 80, 81, 82, 9511	Postal, courier, software publishing, information service and communication
11	85	Education
12	75, 86, 87, 88, 941, 9491 (organizations), 9499	Veterinary, human health, residential care, social work activities and membership organizations
13	37, 38, 39, 561, 563, 682, 90, 91, 92, 93, 9512, 952, 96	Other services activities
		OAEs
14	01632, 10–33, 35103, 35105, 35106, 35107, 35109	Manufacturing
15	45, 46, 47	Trade
16	37 – 39, 49211, 49219, 4922, 4923, 50, 52 – 63, 64193, 643, 64309, 6491, 64920, 64921, 64929, 6499, 6612, 6619, 6621, 663, 68, 69, 70 – 75, 771, 772, 773,78 – 82, 85 – 93, 941, 949, 95, 96	Other Services

[5a] l	list o	of households and no	on-agricultural enter	prise	es (seg	gment 1	/ 2)*							
				$ \begin{array}{ c c c c c c c c c c c c c c c c c c c$		col.(14): SSS number: ESTABLISHMENTS: for code 2 in col. 13:								
3r	serial number	name and address of enterprise/ owner/ operator/ head of	description of activity	category	rship code	for 1 &	5 in col. (6) for 2 in col. (7)	le	prise serial			e code	of 1	SSS 1: NIC 101, 102, 103, 104, 105, 107, 11; SSS 2: NIC 01632, 13 – 18, 31; SSS 3: NIC 106, 108, 12, 19 – 30, 32, 33, 35103, 35105, 35106, 35107, 35109;
house number	household ser	household		broad activity	type of ownership	registration co	NIC 2008 code 2/ 3/ 4/ 5 digits [®]	eligibility code	eligible enterprise number	total	hired	enterprise type	SSS number (either 16)	SSS 4: NIC 45; SSS 5: NIC 46; SSS 6: NIC 47; SSS 7: NIC 55, 562; SSS 8: NIC 49211, 49219, 4922, 4923, 50, 52, 79;
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)	(14)	SSS 9: NIC 643, 64193, 64309, 6491, 6492, 64921, 64929, 6499, 6612, 6619, 6621, 663;
														SSS 10: NIC 53,58 – 62, 631, 639, 681, 69 – 74, 771, 772, 773, 78, 80 – 82, 9511; SSS 11: NIC 85; SSS 12: NIC 75, 86 – 88, 941, 9491, 9499; SSS 13: NIC 37 – 39, 561, 563, 682, 90 – 93, 9512, 952, 96; OAEs: for code 1 in col. 13: SSS 14: NIC 01632, 10 – 33, 35103, 35105, 35106, 35107, 35109; SSS 15: NIC 45 – 47; SSS 16: NIC 37 – 39, 49211, 49219, 4922, 4923, 50, 52 – 63,
														SSS 16: NIC 37 – 39, 49211, 49219, 4922, 4923, 50, 52 – 63, 643, 64193, 64309, 6491, 64920, 64921, 64929, 6499, 6612, 6619, 6621, 663, 68, 69, 70 – 75, 771, 772, 773,78 – 82, 85 – 93, 941, 949, 95, 96.

^{*} strike out which is not applicable

CODES FOR BLOCK 5a

- col.(5): broad activity category code: manufacturing -1, trade -2, other services -3, remaining -4
- col.(6): **type of ownership code**: proprietary/partnership 1, Govt./ PSU 2, limited companies 3, co-operative society 4, others 5
- col.(7): **registration code:** registered under Sections 2m(i) and 2m(ii) of the Factories Act, 1948 or beedi and cigar workers (conditions of employment) Act, 1966 or Limited Liability Partnership (LLP) Act, 2008 or with the Central Electricity Authority: yes -1, no -2.
- [®] col. (8): **NIC -2008 code**: **2 digits** for 11 33, 37 39, 45 47, 50, 52 55, 58 63, 69 75, 78 82, 85 93, 96;
- **3 digits** for 101, 102, 103, 104, 105, 106, 107, 108, 561, 562, 563, 663, 681, 682, 771, 772, 773, 941, 952;
- 4 digits for 4922, 4923, 6491, 6499, 6612, 6619, 6621, 9491 (individuals), 9499, 9511, 9512;
- **5 digits** for 01632, 35103, 35105, 35106, 35107, 35109, 49211, 49219, 64193 (chit funds), 64300, 64309 (self help groups), 64920, 64921 (investment clubs), 64929 (activities of private moneylenders).
- col.(9): **eligibility code**: operated for at least 30 days (15 days for seasonal enterprises and SHG) during last 365 days -1, otherwise -2. col.(13): **enterprise type code**: OAE -1, Establishment -2

[5b] se	election of	non-ag	ricultu	ral ente	rprises	under c	overage	(segme	nt 1/2)*	¢									
	(to	a)									ule 2.34								
eq	no. 5a)	bl 5							ing serial i		nd sample	enterprise	number			1			
copi	ial , bl (4,				Т		es	stablishme	nts							OAEs		
to be	se ser ol. 10	from col. 14, bl 5a)	m	manufacturing trade other services									other services					other services	
er (rpris n ce	froi	SSS SSS SSS										SSS						
1, b	inte	er ied	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	
house number (to be copied from col. 1, bl 5a)	eligible enterprise serial no. (to be copied from col. 10, bl 5a)	SSS number (to be copied	E=	E=	E=	E=	E=	E=	E=	E=	E=	E=	E=	E=	E=	E=	E=	E=	
hou	elig be c	SSS (to b	e=	e=	e=	e=	e=	e=	e=	e=	e=	e=	e=	e=	e=	e=	e=	e=	
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)	(14)	(15)	(16)	(17)	(18)	(19)	
											1 0 1								

^{*} strike out which is not applicable; random numbers are to be recorded in the worksheet provided at the end of this schedule

worksheet for recording of random numbers for selection of sample enterprises in block 5b schedule 2.34															
							numbers se	elected for c	ols. (4) – (1	.9)			1	0.45	
establishments												OAEs			
manufacturing			trade			other services							manuf.	trade	other services
SSS			SSS			SSS							SSS		
1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
E=	E=	E=	E=	E=	E=	E=	E=	E=	E=	E=	E=	E=	E=	E=	E=
e=	e=	e=	e=	e=	e=	e=	e=	e=	e=	e=	e=	e=	e=	e=	e=
(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)	(14)	(15)	(16)	(17)	(18)	(19)